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Dkt. No. 29248/19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

applicants

: Erik Buntinx

Appl. No.

10/752,423

Filed

January 6, 2004

For

METHOD OF TREATING MENTAL DISORDERS USING

D4 AND 5-HT2A ANTAGONISTS, INVERSE AGONISTS OR

PARTIAL AGONISTS

Group Art

Examiner

1617

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, applicants would like to direct the Examiner's attention to the references that are listed on Form PTO/SB/08A-B (6 pages) attached hereto along with copies of the six foreign patent documents and 34 non-patent literature documents. All of these references, with the exception of one discussed below, were cited in European Search Reports issued in connection with related European Patent Application Nos. EP 03 44 7279.5, EP 04 44 7066.4, and/or EP 04 02 5035.9, and/or in an International Search Report issued in connection with related PCT Patent Application No. PCT/BE2004/000172. Copies of these six Search Reports are also attached hereto. Finally, also listed is the publication of

Applicants: Erik Buntinx Appl'n. No.: 10/752,423

Filed: January 6, 2004

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one related later-filed U.S. Patent Application by applicant. A second later-filed application by applicant, U.S. Patent Application No. 10/984,683, has not yet published.

Applicants are submitting the subject Information Disclosure Statement pursuant to 37 C.F.R. §1.97(b)(3) before the mailing of a first Office Action on the merits. Accordingly, no fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required in connection with the submission of this Information Disclosure Statement or to maintain the pendency of the subject application, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 01-1785.

Respectfully submitted, AMSTER, ROTHSTEIN & EBENSTEIN LLP Attorneys for Applicant 90 Park Avenue New York, New York 10016

(212) 336-8000

Dated: August 9, 2005

New York, New York

Alan D. Miller, Reg. No. 42,889

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/752,423				
Filing Date	Janaury 6, 2004				
First Named Inventor	Erik Buntinx				
Art Unit	1617				
Examiner Name	Gregory W. Mitchell				
Attorney Docket Number	29248/19	$\overline{\mathcal{I}}$			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	1	^{US-} 5,780,474 A	07-14-1998	Brocco et al.	
	2	^{US-} 5,762,960 A	06-09-1998	Dodman	
	3	^{US-} 5,364,857 A	11-15-1994	Bode Greuel	
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•		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	4	WO 01/98298 A	12-27-2001	H. LUNDBECK A/S		
	5	WO 98/43646 A	10-08-1998	AKZO NOBEL N.V.		
	6	WO 98/11897 A	03-26-1998	ELI LILLY AND COMPANY		
	7	WO 00/64441 A	11-02-2000	RESPIRATORIUS AB		
	8	WO 02/051833 A	07-04-2002	E.C.L.		
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Complete if Known Substitute for form 1449/PTO Application Number 10/752,423 Filing Date Janaury 6, 2004 INFORMATION DISCLOSURE First Named Inventor Erik Buntinx STATEMENT BY APPLICANT Art Unit 1617 (Use as many sheets as necessary) **Examiner Name** Gregory W. Mitchell

Attorney Docket Number

Sheet

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	te for form 1449/PTO		to 1000, no persons at	Complete if Known		
				Application Number	10/752,423	
			CLOSURE	Filing Date	Janaury 6, 2004	
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Erik Buntinx	
	(Use as many she	ets as n	ecessary)	Art Unit	1617	
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Sheet	3	of	6	Attorney Docket Number	29248/19	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	10	SCHINDLER T et al., entitled "Palliative medical management in anxiety and depression," Zeitschrift Fur Allgemeinmedizin, Vol. 74, No. 21, November 5, 1998, pp. 973-978.	
	11	VOLMAT R et al., entitled "The treatment of depressions by Cledial. Evolution and clinical state and handwriting," Psychologie Medicale, Vol. 18, No. 10, 1986, pp. 1615-1622.	
	12	FOUKS et al., entitled "Treatment of character disorders with a new butyrophenone: R. 3345 or pipamperone," Annales Medico-Psychologiques, Vol. 124, No. 5, 1966, pp. 677-681.	
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	19	DATABASE PHARMAPROJECTS 'ONLINE! PJB Publications Ltd., December 1999. Caesar accession no. 1498	

Examiner		Date	-
Signature	<u> </u>	Considered	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitut	te 101 101111 1445/F1O			Application Number	10/752,423
INFO	ORMATION	DIS	CLOSURE	Filing Date	January 6, 2004
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Erik Buntinx
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Sheet	4	of	6	Attorney Docket Number	29248/19

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	20	ADLER L et al., entitled "Praxis Der Stationaeren Akutbehandlung Von Manien Retrospektive Vergleichsuntersuchung An Je 100 Patienten Zweier Psychiatrischer Sentren Practice of In-Patient Acute Treatment of Manias," Fortschritte Der Neurologie	
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	22	LEYSEN J E et al., entitled "Receptor interactions of new antipsychotics: Relation to Pharmacodynamic and Clinical Effects," International Journal of Psychiatry in Clinical Practice, Vol. 2, No. 1, 1998, pp. S03-S17.	
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Substitute for form 1449/PTO				Complete if Known			
		Application Number	10/752,423				
INFORMATION DISCLOSURE				Filing Date	January 6, 2004		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Erik Buntinx		
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Sheet	5	of	6	Attorney Docket Number	29248/19		

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	T
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	28	ENGELBORGHS S et al., entitled "Amino acids and biogenic amines in cerebrospinal fluid of patients with Parkinson's disease," Neurochemical Research, Vol. 28, No. 8, August 2003, pp. 1145-1150.	
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Examiner	Date	
Signature	Considered	

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Substitute for form 1449/PTO				Complete if Known			
Cubolitu	10/10/10/11/14/0//			Application Number	10/752,423		
INFO	ORMATION	DIS	CLOSURE	Filing Date	January 6, 2004		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Erik Buntinx		
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Sheet	6	of	6	Attorney Docket Number	29248/19		

	1	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	36	ETCHEPAREBORDA M C, entitled "Neurocognitive and pharmacological approach to specific learning disorders," Database Medline "Online!, US National Library of Medicine, February 1999, and Revista De Neurologia, Vol. 28, Suppl. 2, February 1999, pp. S81-S93, Abstract.	
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